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EXAMINER
RIZZUTO, KEVIN P

ART UNIT	PAPER NUMBER
2183	

DATE MAILED: 02/22/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.	Applicant(s)	
	09/994,483	EIDSON ET AL.	
	Examiner	Art Unit	
	Kevin P Rizzuto	2183	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE *3* MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☒ Responsive to communication(s) filed on *11/26/01, 2/12/02, and 8/2/02*.

2a)☐ This action is **FINAL**. 2b)☒ This action is non-final.

3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4)☒ Claim(s) *1-26* is/are pending in the application.

4a) Of the above claim(s) _____ is/are withdrawn from consideration.

5)☐ Claim(s) _____ is/are allowed.

6)☒ Claim(s) *1-26* is/are rejected.

7)☐ Claim(s) _____ is/are objected to.

8)☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9)☒ The specification is objected to by the Examiner.

10)☐ The drawing(s) filed on _____ is/are: a)☐ accepted or b)☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a)☐ All b)☐ Some * c)☐ None of:

1.☐ Certified copies of the priority documents have been received.

2.☐ Certified copies of the priority documents have been received in Application No. _____.

3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1) ☒ Notice of References Cited (PTO-892)
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date _____.
4) ☐ Interview Summary (PTO-413) Paper No(s)/Mail Date. _____ .
5) ☐ Notice of Informal Patent Application (PTO-152)
6) ☐ Other: _____.

DETAILED ACTION

1. Claims 1-26 have been examined.

2. Acknowledgement of papers filed: application on 11/26/01, Oath and Drawings on 2/12/02, change of address on 8/2/02 and request for status of application on 8/8/03.

The papers filed have been placed on record.

Specification

3. The title of the invention is not descriptive. A new title is required that is clearly indicative of the invention to which the claims are directed.

Claim Objections

4. Claim 6 is objected to because of the following informalities: grammatical errors.

5. As per claim 6, applicant claims "associated with occurrence of an instruction."

Appropriate correction is required. Appropriate correction is required.

Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

7. Claims 1-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Fowler, U.S. Patent 4,502,116 in view of Wen, U.S. Patent 5,956,514.

8. As per claim 1, Fowler teaches a method comprising:

-In response to a first signal (hardware or software induced interrupt) indicating the execution of a breakpoint by a processor (test utility system, not explicitly shown in drawings), suspending execution of a peripheral and saving the state of the peripheral (target subsystem): (Column 1, lines 26-31, Column 2, lines 59 to column 3, line 12, Steps 1-4 in Column 9, line 42-65)

-Continuing execution of the breakpoint by the processor after the state of the peripheral has been saved: (Step 5 in Column 9, line 66 to Column 10, line 22 indicates the peripheral's state has been saved, and afterwards in step 6, the utility, in response to the saved state being completed, continues execution by carrying out the appropriate action, which as stated in column 2, lines 6-25 step 3 includes means to display and modify registers and column 3, lines 7-12 and column 1, lines 26-31. Since step 6 is the next sequential step in the synchronized process in Column 9, line 42-65 and includes modifying registers, it is inherent the saving of the state of the peripheral must be complete in step 5 before step 6 is started)

-And restoring the saved state of the peripheral in response to a third signal indicating that execution of the breakpoint by the processor has been completed: (The Test Utility Processor initiates a return to the application program in step 7 (shown in column 9, lines 42-65) via "an assert resume" portion of its software which also indicates the breakpoint has been completed. In the next step (step 8), after the

initiation in step 7, the state of the subsystem is restored. (Column 2, lines 66-68 and Column 9, lines 42-65).

9. While Fowler inherently teaches that the context/state of the target processor is saved prior to the continuing of the execution of the breakpoint (before the test utility processor starts probing/modifying register contents (Column 2, lines 7-13)), Fowler does not specifically teach how it insures the state has already been saved.

10. Wen teaches a target processor that receives a signal that a breakpoint has occurred. The state of the target processor is first saved, and then it outputs a GORPY signal to indicate it has been saved, and finally it continues with the execution of the breakpoint. (Column 7, lines 36-41) One of ordinary skill in the art would have recognized that the alternative to an explicit signal is the test utility processor estimating a save/wait time or using the worst-case save/wait time, which would not be as efficient as an explicit signal, such as the one taught by Wen.

11. It would have been obvious to one of ordinary skill in the art to have a signal to indicate that the state has finished being saved to allow the test utility processor to immediately know the target is finished saving, since this would be a more efficient method of waiting for the state of the target system to be saved than the alternative of estimating a wait time or waiting the worst case save time. The added efficiency would have provided the motivation to one of ordinary skill in the art to use the method of the GORPY signal taught by Wen.

12. As per claim 2, Fowler in view of Wen, teaches the method of claim 1 comprising resuming normal execution of the processor in response to a signal indicating that the

saved state has been restored. (Step 10, which follows the restoring of the state of the peripheral in step 8, includes releasing a pause-out condition. Following the release of the pause-out condition, the utility processor returns to normal operation. Normally the utility processor has interrupts enabled, but they are disabled for the breakpoint handling, they are re-enabled in step 10, and hence, the utility processor resumes normal operation. Column 9, line 42-65)

13. As per claim 3, Fowler in view of Wen, teaches the method of claim 1 comprising resuming normal execution of the peripheral in response to a signal indicating that the processor has resumed normal execution: (Normally the utility processor has interrupts enabled, but they are disabled for the breakpoint handling, they are re-enabled in step 10, and hence, the utility processor resumes normal operation. After the normal operation of the processor has resumed, indicated by the pause-in signal going inactive, the target subsystem resumes normal execution. Steps 10-15 in Column 9, line 42-65, also column 9, lines 23-31)

14. As per claim 4, Fowler in view of Wen, teaches the method of claim 1 comprising setting a register to control whether generation of the second signal is to be based on the state of the peripheral. (Fowler teaches in figures 6 and 7, column 5 line 63 to 68 and column 6, lines 25-32 a disable/enable switch, which has two positions that designate whether or not the target subsystem will be synchronized, i.e., paused for testing (breakpoint). Register is defined as "a device for storing small amounts of data; esp: one in which data can be both stored and operated on." (Merriam-Webster's Collegiate Dictionary, 10th Ed.) The switch is operated on and it also stores data (the

current state, enabled or disabled). In the case of it being disabled, there would in turn be no second signal generation because the target subsystem would not be paused in the first place).

15. As per claim 5, Fowler in view of Wen, teaches the method of claim 1 comprising setting a register to control whether generation of a signal indicating that the saved state has been restored is to be based on the state of the peripheral. (Fowler teaches in figures 6 and 7, column 5 line 63 to 68 and column 6, lines 25-32 a disable/enable switch, which has two positions that designate whether or not the target subsystem will be synchronized, i.e., paused for testing (breakpoint) and then restored. Register is defined as "a device for storing small amounts of data; esp: one in which data can be both stored and operated on." (Merriam-Webster's Collegiate Dictionary, 10th Ed.) The switch is operated on and it also stores data (the current state, enabled or disabled). In the case of it being disabled, there would in turn be no signal generation indicating the system had been restored because the target subsystem would not be paused in the first place. If the switch is storing an enable state, then Step 8, which follows the restoring the state of the peripheral step 7, includes releasing a pause-out condition, a signal to indicate the peripheral restored its state.)

16. As per claim 6, Fowler in view of Wen, teaches the method of claim 1 comprising triggering the breakpoint in response to a condition associated with occurrence of an instruction being executed by the processor. (Fowler teaches that the test utility system provides a breakpoint feature in order to halt/pause execution of a target subsystem and to proceed with testing and/or modifying the subsystem. Breakpoint is defined "to be

set when both a point in the program and an event that will cause the suspension of execution at that point are defined" (The Authoritative Dictionary of IEEE Standards Terms, 7th Ed.) It is therefore inherent that when the test utility system implements the breakpoint feature in order to halt execution and test, it is when both a point in the program (occurrence of an instruction) and an event (condition) associated with the instruction has occurred.

17. As per claim 7, Fowler teaches a system comprising:

-A processor (Test utility system); a first computer-readable medium storing instructions that, when applied to the processor, cause the processor to: (The Test utility system contains software to run a routine (Column 2, line 59 to column 3, line 17 and column 9, line 14 to column 10, line 22), it is inherent that the instructions in the program are stored on a computer-readable medium or else the computer would not be able to read them)

-Generate a first signal indicating execution of a breakpoint: (A breakpoint causes the target subsystem to halt execution, which is done via a pause/resume signal. (Steps 1-4, Column 9, lines 42-65, Column 1, lines 18-31 and column 9, lines 14-39))

-Continue execution of the breakpoint after the state of the peripheral has been saved: (Step 5 in Column 9, line 66 to Column 10, line 22 indicates the peripheral's state has been saved, and afterwards in step 6, the utility, in response to the saved state being completed, continues execution by carrying out the appropriate action, which as stated in column 2, lines 6-25 step 3 includes means to display and modify

registers and column 3, lines 7-12 and column 1, lines 26-31. Since step 6 is the next sequential step in the synchronized process in Column 9, line 42-65 and includes modifying registers, it is inherent the saving of the state of the peripheral must be complete in step 5 before step 6 is started)

-And generate a third signal indicating that execution of the breakpoint has been completed: (The Test Utility Processor initiates a return to the application program in step 7 (shown in column 9, lines 42-65) via "an assert resume" portion of its software which also indicates the breakpoint has been completed. In the next step (step 8), after the initiation in step 7, the state of the subsystem is restored. (Column 2, lines 66-68 and Column 9, lines 42-65).

-A peripheral coupled to the processor; (Target subsystem)

A second computer-readable medium storing instructions that, when applied to the peripheral, cause the peripheral to:

-Suspend execution and save a state of the peripheral, in response to receiving the first signal: (Step 5 in column 9, lines 42-65; Column 1, lines 26-31, Column 2, lines 59 to column 3, line 12)

-Restore the state of the peripheral, in response to receiving the third signal: (The Test Utility Processor initiates a return to the application program in step 7 (shown in column 9, lines 42-65) via "an assert resume" portion of its software which also indicates the breakpoint has been completed. In the next step (step 8), after the initiation in step 7, the state of the subsystem is restored. (Column 2, lines 66-68 and Column 9, lines 42-65).

Since the target subsystem does carry out the above steps, it is inherent that it is a result of instructions that are stored on a computer readable medium. A target subsystem inherently needs instructions to do any functions, and in order for the instructions to be read by the target subsystem, they are inherently stored on a computer readable medium.

18. While Fowler inherently teaches that the context/state of the target processor is saved prior to the continuing of the execution of the breakpoint (before the test utility processor starts probing/modifying register contents), Fowler does not specifically teach how it insures the state has already been saved. Fowler fails to teach wherein the processor continues execution of the breakpoint in response to receiving a second signal and a digital logic circuit that generates the second signal indicating that the state of the peripheral has been saved, the digital logic circuit coupled to the processor and the peripheral.

19. Wen teaches a target processor that receives a signal that a breakpoint has occurred. The state of the target processor is first saved, and then it outputs a GORPY signal to indicate it has been saved, and finally it continues with the execution of the breakpoint. (Column 7, lines 36-41) It is inherent that this detection of the target processor finishing saving its state is done via a digital logic circuit. One of ordinary skill in the art would have recognized that the alternative to an explicit signal is the test utility processor estimating a save/wait time or using the worst-case save/wait time, which would not be as efficient as an explicit signal, such as the one taught by Wen.

20. It would have been obvious to one of ordinary skill in the art to have a signal generated by the interface circuit of Fowler to indicate that the state has finished being saved to allow the test utility processor to immediately know the target is finished saving, since this would be a more efficient method of waiting for the state of the target system to be saved than the alternative of estimating wait time or waiting the worst case save time. The interface circuit of figure 8 handles the synchronization signals between hardware, and therefore it would have been obvious to one of ordinary skill in the art to have the interface circuit generate the state saved signal as well (Column 7, 14-35). The added efficiency would have provided the motivation to one of ordinary skill in the art to use the method of the GORPY signal taught by Wen.

21. As per claim 8, the system of claim 7 wherein the first computer-readable medium includes instructions that cause the processor to:

-Resume normal execution in response to receiving a fourth signal: (Step 9, which follows the restoring of the state of the peripheral (step 8), includes releasing a pause-out condition (fourth signal). Following the release of the pause-out condition, the utility processor returns to normal operation, step 10. Normally the utility processor has interrupts enabled, but they are disabled for the breakpoint handling, they are re-enabled in step 10, and hence, the utility processor resumes normal operation. Column 9, line 42-65)

-And generate a fifth signal indicating that the processor has resumed normal execution: (Normally the utility processor has interrupts enabled, but they are disabled for the breakpoint handling, they are re-enabled in step 10, and hence, the utility

processor resumes normal operation. After the processor (test utility) resumes normal operation, it indicates to the target subsystem (peripheral) to restart execution, which inherently involves a signal being generated and which is also an indication that the processor (test utility) has resumed normal operation. Steps 11-15 in Column 9, line 66 to column 10, line 22, also column 9, lines 23-31)

-And wherein the second computer-readable medium includes instructions that cause the peripheral to resume normal execution, in response to receiving the fifth signal: (After the processor (test utility) resumes normal operation, it indicates to the target subsystem (peripheral) to restart execution, which inherently involves a signal being generated and which is also an indication that the processor (test utility) has resumed normal operation. Steps 11-15 in Column 9, line 66 to column 10, line 22, also column 9, lines 23-31))

-And wherein the digital logic circuit is configured to generate the fourth signal indicating that the saved state of the peripheral has been restored: (Figure 8 depicts aspects of the digital logic circuit that generates the fourth signal, pause-out)

22. As per claim 9, the system of claim 8, further comprising:

-A second processor (Another subsystem with both pause-in/resume-out signals and pause-out/resume-in signals in figure 1); a third computer-readable medium storing instructions that, when applied to the second processor, cause the second processor to:

-Suspend execution and save a state of the second processor, in response to receiving the first signal (pause-in): (Steps 1-4, column 9, line 66 to column 10, line 22, Column 1, lines 18-31 and column 9, lines 14-39))

-Restore the state of the second processor in response to receiving the third signal: (Column 9, lines 36-39, resume-in signal, steps 7)

-And resume normal execution, in response to receiving the fifth signal (pause-in signal): (Steps 11-14)

-And generate the fourth signal (pause-out signal) indicating that the saved state of the second processor has been restored: (Step 8, figure 8 depicts the interface circuitry generating the pause-out signal)

Since the second processor does carry out the above steps, it is inherent that it is a result of instructions that are stored on a computer readable medium. A processor inherently needs instructions to do any functions, and in order for the instructions to be read by the processor, they are inherently stored on a computer readable medium.

23. While Fowler teaches that the context/state of all the subsystems is saved prior to the continuing of the execution of the breakpoint (before probing/modifying register contents) (Column 2, lines 3-14), Fowler does not specifically teach how it insures the state has already been saved. Fowler, in view of Wan as applied to the preceding claims, fails to teach wherein the digital logic circuit is configured to: generate the second signal indicating that the state of the second processor has been saved.

24. Wen teaches a target processor that receives a signal that a breakpoint has occurred. The state of the target processor is first saved, and then it outputs a GORPY signal to indicate it has been saved, and finally it continues with the execution of the breakpoint. (Column 7, lines 36-41) It is inherent that this detection of the target processor finishing saving its state is done via a digital logic circuit. One of ordinary skill

in the art would have recognized that the alternative to an explicit signal is the test utility processor estimating a save/wait time or using the worst-case save/wait time, which would not be as efficient as an explicit signal, such as the one taught by Wen.

25. It would have been obvious to one of ordinary skill in the art to have a signal generated by the interface circuit (figure 8) of Fowler to indicate that the state has finished being saved to allow the test utility processor to immediately be alerted that the target is finished saving, since this would be a more efficient method of waiting for the state of the target system to be saved than the alternative of estimating wait time or waiting the worst case save time. The interface circuit of figure 8 handles the synchronization signals between hardware, and therefore it would have been obvious to one of ordinary skill in the art to have the interface circuit generate the state saved signal as well (Column 7, 14-35). The added efficiency would have provided the motivation to one of ordinary skill in the art to use the method of the GORPY signal taught by Wen.

26. As per claim 10, Fowler, in view of Wen, teaches the system of claim 7 including a system on a chip (SOC). (It is inherent that hardware of Fowler, in view of Wen, is constructed on a chip, and therefore, Fowler, in view of Wen, teaches a system (any of a collection of hardware taught in Fowler, in view of Wen) on a chip.)

27. As per claim 11, Fowler, in view of Wen teaches the system of claim 7 including a debugging tool (support processor 31) coupled to the system to debug the system. (Figures 1 and 3, column 4, lines 35-48)

28. As per claim 12, Fowler, in view of Wen, teaches the system of claim 7 wherein the digital logic circuit comprises a register to control whether generation of the second signal is to be based on the state of the peripheral: ((Fowler teaches in figures 6 and 7, column 5 line 63 to 68 and column 6, lines 25-32 a disable/enable switch, which has two positions that designate whether or not the target subsystem will be synchronized, i.e., paused for testing (breakpoint) and then restored. Register is defined as "a device for storing small amounts of data; esp: one in which data can be both stored and operated on." (Merriam-Webster's Collegiate Dictionary, 10th Ed.) The switch is operated on and it also stores data (the current state, enabled or disabled). In the case of it being disabled, there would in turn be no signal generation indicating the system had been restored because the target subsystem would not be paused in the first place. If the switch is storing an enable state, then Step 8, which follows the restoring the state of the peripheral step 7, includes releasing a pause-out condition, a signal to indicate the peripheral restored its state. Figures 6 and 7 are part of the interface circuit, as is figure 8, Column 6, lines 25-68)

29. As per claim 13, Fowler, in view of Wen, teaches the system of claim 7 wherein the state identifies a state of internal registers associated with the peripheral. (Column 1, lines 18-31, Column 2, lines 7-25, Column 9, lines 42-65)

30. As per claim 14, Fowler, in view of Wen, teaches the system of claim 7 wherein the processor operates at a clock rate different than the peripheral: (Column 1, lines 18-39)

31. As per claims 15, 17 and 23, given the similarities between claim 7 and claims 15, 17 and 23, the arguments as stated for the rejection of claim 7 also apply to claims 15, 17 and 23.

32. As per claims 16, 18 and 24, given the similarities between claim 8 and claims 16, 18 and 24, the arguments as stated for the rejection of claim 8 also apply to claims 16, 18 and 24.

33. As per claims 19, 21 and 25, given the similarities between claim 7 and claims 19, 21 and 25, the arguments as stated for the rejection of claim 7 also apply to claims 19, 21 and 25.

34. As per claims 20, 22 and 26, given the similarities between claim 8 and claims 20, 22 and 26, the arguments as stated for the rejection of claim 8 also apply to claims 20, 22 and 26.

Conclusion

35. The following is text cited from 37 CFR 1.111(c): In amending in reply to a rejection of claims in an application or patent under reexamination, the applicant or patent owner must clearly point out the patentable novelty which he or she thinks the claims present in view of the state of the art disclosed by the references cited or the objections made. The applicant or patent owner must also show how the amendments avoid such references or objections.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kevin P Rizzuto whose telephone number is (571) 272-4174. The examiner can normally be reached on M-F, 8-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eddie Chan can be reached on (571) 272-4174. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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